

PATENT  
Customer No. 22,852  
Attorney Docket No. 08137.0004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Hiroshi SHINRIKI et al. ) Group Art Unit: 1762  
Application No.: 09/657,627 ) Examiner: B. Chen  
Filed: September 8, 2000 )  
For: METHOD OF FORMING A THIN )  
FILM )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)(4)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b)(4), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449. This Information Disclosure Statement is being filed under the provisions of 37 C.F.R. § 1.97(b)(4), along with a Request for Continued Examination under 37 C.F.R. § 1.114.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form PTO 1449.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
[www.finnegan.com](http://www.finnegan.com)

that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the U.S. Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents.

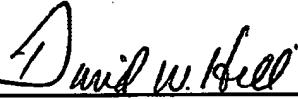
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Information Disclosure Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

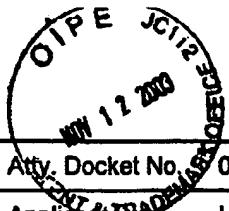
FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: November 12, 2003

By:   
\_\_\_\_\_  
David W. Hill  
Reg. No. 28,220

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
[www.finnegan.com](http://www.finnegan.com)



## INFORMATION DISCLOSURE CITATION

Atty. Docket No. 08137.0004	Appln. No. 09/657,627
Applicant: Hiroshi SHINRIKI et al.	
Filing Date	September 8, 2000
Group: 1762	

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date if Appropriate
	4,514,441	4/1985	J. Alexander			
	5,478,610	12/1995	Desu et al.			
	5,536,323	7/1996	Kirlin et al.			
	5,641,540	6/1997	Lee et al.			
	6,074,487	6/2000	Yoshioka et al.			
	6,312,816	11/2001	Roeder et al.			
	6,428,850	8/2002	Shinriki et al.			
	2002/0166507	11/2002	Shinriki et al.			

## FOREIGN PATENT DOCUMENTS

	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


Examiner	Date Considered
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce